

# Safety Considerations



**Section II of the *NIH Guidelines* focuses on safety considerations for research with recombinant and synthetic nucleic acids.**

# Section II – Risk Groups

- **Appendix B of the *NIH Guidelines* lists biological agents known to infect humans as well as selected animal agents that have the potential to infect humans.**
- **Biological agents are assigned to one of four risk group based on the potential effect of the agent on a healthy human adult.**

# Section II – Risk Groups

<b>RG 1</b>	<b>RG 2</b>	<b>RG 3</b>	<b>RG 4</b>
<p>Agents that are not associated with disease in healthy adult humans</p>	<p>Agents that are associated with human disease which is rarely serious and for which preventive or therapeutic interventions are <i>often</i> available</p>	<p>Agents that are associated with serious or lethal human disease for which preventive or therapeutic interventions <i>may be</i> available (high individual risk but low community risk)</p>	<p>Agents that are likely to cause serious or lethal human disease for which preventive or therapeutic interventions are <i>not usually</i> available (high individual risk and high community risk)</p>

# Containment

**In proposing research, the PI must make an initial determination of the required levels of physical and biological containment in accordance with the *NIH Guidelines*.**

**The PI must also propose appropriate microbiological practices and laboratory techniques to be used for the research**

# Physical Containment

- **Four biosafety levels are described in Appendix G of the *NIH Guidelines*. These biosafety levels consist of a combination of lab practices and techniques, safety equipment, and lab facilities appropriate for the operations being performed.**
- **Biosafety level 4 provides the most stringent containment conditions, biosafety level 1 the least stringent.**

**For more information see:**

**[http://oba.od.nih.gov/oba/rac/guidelines\\_02/Appendix\\_G.htm](http://oba.od.nih.gov/oba/rac/guidelines_02/Appendix_G.htm)**

# Physical Containment (continued)

## **APPENDIX P - PHYSICAL AND BIOLOGICAL CONTAINMENT FOR RECOMBINANT DNA RESEARCH INVOLVING PLANTS**

**Appendix P of the *NIH Guidelines* specifies physical and biological containment conditions and practices suitable to the greenhouse conduct of experiments involving recombinant DNA-containing plants, plant-associated microorganisms, and small animals.**

[http://oba.od.nih.gov/oba/rac/guidelines\\_02/Appendix\\_P.htm](http://oba.od.nih.gov/oba/rac/guidelines_02/Appendix_P.htm)

# Physical Containment (continued)

## **APPENDIX Q - PHYSICAL AND BIOLOGICAL CONTAINMENT FOR RECOMBINANT DNA RESEARCH INVOLVING ANIMALS**

**Appendix Q** specifies containment and confinement practices for research involving whole animals, both transgenic animals and experiments involving viable recombinant DNA-modified microorganisms tested on whole animals.

**Appendix Q** supersedes Appendix G when research animals are of a size or have growth requirements that preclude the use of containment for laboratory animals. The animals covered in Appendix Q include but are not limited to cattle, swine, sheep, goats, horses, and poultry.

[http://oba.od.nih.gov/oba/rac/guidelines\\_02/Appendix\\_Q.htm](http://oba.od.nih.gov/oba/rac/guidelines_02/Appendix_Q.htm)

# Biological Containment

- **Biological containment is the application of highly specific biological barriers. Such barriers limit either the infectivity of a vector for specific hosts, or its dissemination and survival in the environment.**
- **Vectors can be genetically designed to decrease, by many orders of magnitude, the probability of dissemination of recombinant DNA outside the lab.**

**For more information see:**

**[http://oba.od.nih.gov/oba/rac/guidelines\\_02/Appendix\\_I.htm](http://oba.od.nih.gov/oba/rac/guidelines_02/Appendix_I.htm)**